

Strategic Programme of Internal Audit Areas - reviewed & revised 25 January 2013							
Corporate Audits - Key Financial Audits	2013/14 programme (provisional)	IA Risk assessment 2013/14	corp. plan / risks 2013/14	last audited	2012/13 opinion	comment	pre-2012/13 opinion
Corporate Asset Management	y	2	y	2012/13	adequate	KF-UDC - 2012/13	n/a
Corporate Budgets	y	2	y	2011/12		KF-UDC - 2013/14	substantial
Corporate Contracts & Procurement	y	2	y	2011/12		KF-UDC - 2013/14	substantial
Corporate Income	y	2	y	2011/12		KF-UDC - 2013/14	adequate
General Ledger (formerly Budgets; Inc; Recs)	y	4	y	2012/13	tbc	KF-EA - annual	n/a
Cash & Bank (formerly Recs)	y	4	y	2012/13	tbc	KF-EA - annual	n/a
Corporate Audits		IA Risk assessment 2013/14	corp. plan / risks 2013/14	last audited	2012/13 opinion	comment	pre-2012/13 opinion
Corporate Access to Services	y	3	y			b/f from 2012/13 (new)	n/a
Corporate Business Continuity	y	3				b/f from 2012/13 (new a separate audit?)	n/a
Corporate Diversity & Equality	p	3	y	2012/13	tbc	dependent on 2012/13 opinion	n/a
Corporate Governance & AGS	y	3	y	2012/13	n/a AGS only	AGS only	n/a
Corporate Grants & External Funding				2012/13	adequate		n/a
Corporate Health & Safety			y	2011/12			adequate
Corporate ICT	y	3		2010/11		b/f from 2011/12	substantial
Corporate Information Management				2011/12			adequate
Corporate Partnerships	y	3	y	2009/10		b/f from 2012/13	adequate
Corporate Performance Management	y	2		2011/12			substantial
Corporate Risk Management	p	3		new 2011/12	tbc	dependent on 2012/13 opinion	n/a
Corporate Training	y					b/f from 2012/13 (new)	n/a
Operational Audits - Key Financial (Managed) Audits		IA Risk assessment 2013/14	corp. plan / risks 2013/14	last audited	2012/13 opinion	comment	pre-2012/13 opinion
Council Tax	y	4	y	2012/13	tbc	KF-UDC - 2012/13 (EA 2013/14 unknown)	n/a
Creditors	y	4	y	2012/13	tbc	KF-EA - 2012/13 (EA 2013/14 unknown)	n/a
Housing Benefits	y	4	y	2012/13	tbc	KF-UDC - 2012/13 (EA 2013/14 unknown)	n/a
Housing Rents	y	4	y	2012/13	tbc	KF-EA - 2012/13 (EA 2013/14 unknown)	n/a
Housing Repairs	y	4	y	2012/13	tbc	KF-EA - 2012/13 (EA 2013/14 unknown)	n/a
NNDR	y	4	y	2012/13	tbc	KF-UDC - 2012/13 (EA 2013/14 unknown)	n/a
Payroll, Allowances & Expenses	y	4	y	2012/13	tbc	KF-UDC - 2012/13 (EA 2013/14 unknown)	n/a
Revenues & Benefits Recovery	y	3	y	2012/13	tbc	KF-UDC - 2012/13	n/a
Sundry Debtors	y	4	y	2012/13	tbc	KF-EA - 2012/13 (EA 2013/14 unknown)	n/a
Taxation	y	3	y	2010/11		KF-UDC - 2013/14	substantial
Treasury Management	y	2	y	2012/13	substantial	KF-UDC - 2013/14	n/a
Operational Audits - Other		IA Risk assessment 2013/14	corp. plan / risks 2013/14	last audited	2012/13 opinion	comment	pre-2012/13 opinion
Building Control Service and Fees	p	2		2010/11			substantial
Community Development	p	2	y	2009/10			adequate
Community Health & Leisure			y	2011/12			substantial
Community Safety - including anti social behaviour	p	2	y	2010/11			substantial
Concessionary Fares				2010/11			adequate
Conservation & Trees				2012/13	adequate		n/a
Customer Service Centre			y	2012/13	adequate		n/a
Disabled Facilities Grants (formerly Renovation Grants)				2010/11			substantial
Economic Development Service			y			new	
Elections	y	2		2008/09			adequate
Electoral Registration				2012/13	adequate		n/a
Emergency Planning				2012/13	tbc		n/a
Enforcement				2012/13	adequate		n/a
Environmental Health	y	3	y	2010/11			substantial
Facilities Management	y	3		2008/09		b/f from 2012/13	adequate
Homelessness			y	2012/13	adequate		n/a
House Sales	y	3		2009/10			substantial
Housing Allocations			y	2012/13	substantial		n/a
Housing Contract Systems	p	3		2011/12			substantial
Planning - Housing Strategy			y	2011/12			adequate
HR	p	2		2011/12			adequate
Insurance				2012/13	adequate		n/a
Legal Services				2008/09			substantial
Licensing				2012/13	adequate		n/a
Local Land Charges	y	2		2008/09			substantial
Mailroom & Reprographics				2012/13	adequate		n/a
Members' Allowances & Expenses	y	2		2010/11			adequate
Museum				2012/13	adequate		n/a
Planning - Development Management (control)	y	2		2009/10			adequate
Planning - Support & Advice (planning fees)	y	2		2009/10			adequate
Section 106 Obligations				2012/13	adequate		n/a
Services for Older People (formerly Elderly Persons)				2011/12			adequate
Street Services - Grounds Maintenance	p	2	y	2007/08		2013/14	adequate
Street Services - Waste & Recycling	p	4	y	2008/09		2013/14	adequate
Street Services - Street Cleaning	p	2	y	2009/10		2013/14	substantial
Street Services - Trade Waste	p	4	y	2009/10		provisional 2013/14	adequate
Street Services - Transport & Plant Utilisation & Ma	p	3	y	2010/11		provisional 2013/14	adequate
Street Services - Highway Ranger Services	p	2	y			provisional 2013/14 (new)	n/a
Street Services - Income Generating Services (Bulky Household Goods; Garden Wa			y	2012/13	tbc		n/a
Street Services - Management & Admin (Asset acquisitions & disposals; Fuel Mng			y	2012/13	tbc		n/a
Utility Payments & Energy Efficiency			y	2012/13	adequate		n/a
Voids				2012/13	tbc		n/a